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UTILITY PATENT APPLICATION **TRANSMITTAL**

A34694-A-PCT-USA-A Attorney Docket No. Fisher First Inventor ANTI-TUMOR * see attached

APPLICATION ELEMENTS See MPEP displate 600 Concerning utility patent application contents. ADDRESS TO: Assistant Commissioner for Patents Box Patent Application (Washinghon, DC 20231 7.	(Only for new nonprovisional applicati	ons under 37 CFR 1.53(b))	Express	Mail Label No.	ER5892	2330	81US
Total Sheets Total Sheets Total Sheets Total Sheets Total Pages Total Pages Total Sheets Total Sheets Total Pages Total Sheets Total Pages Total Sheets T	1		ADDF	DECCETA.			
Computer Program (Appendix)	Fra Transmittel Franctic D						
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- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appentix - Background of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Consider the Disclosure - Total Sheets -	3. ☐☐☐ (preferred arrangement set forth below		<u>U</u>				
Reference to sequence listing, a table, or a computer program listing appendix	- Cross Reference to Related	d Applications	U. S		•		20): or
Composite Program Issung appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Composite Program Issung appendix - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Total Sheets - Detailed Description - Total Sheets - Total Sh				· 🗖	NI OI CD-IV (z copie	55), OI
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Detailed Description Claim(s) Vabstract of the Disclosure [Total Sheets 1] 4. V Drawing(s) (35 U.S.C. 113) [Total Sheets 1] 5. Oath or Declaration Copy from a prior application (37 CFR 1.63 (d)) b. Copy from a prior application (37 CFR 1.63 (d)) for continuation discional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Disclosure Preliminary Amendment 15. Continuation Copy of Priority Document(s) (froright priority) is claimed. 16. Application Data Sheet See 37 CFR 1.76: Continuation Data Sheet under 37 CFR 1.76: Continuation Divisionel Continuation Part (CIP) Prior application information: Examiner For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under 8x 5b, is considered a part of the disclosure of the accompanying continuation or divisional application application and the area by incorporated by reference. The incorporation can only be relied upon when a portion has been linadvertently emitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS V Customer Number or Bar Code Label Registration No. (Attorney/Agent) 35,225 Name (Print/Type) Lisy B. Kole Registration No. (Attorney/Agent) 35,225	- Brief Summary of the Inver	ntion				<u> </u>	
Claim(s)		• , , ,		1	_		
Attorney Attorn		[Total Sheets]		37 CFR 3.73(b) Statement	Г	
5. Oath or Declaration a.		10		¬ '			•
5. Oath or Declaration [Total Pages 3] 1 2. Statement (IDS)PTO-1449	4. Drawing(s) (35 U.S.C. 113)	[Total Stieets]		_ ~		ent (# 8	• • •
b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 16. (gif foreign priority) is claimed) 6. Application Data Sheet See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation Divisional Continuation Divisional Continuation Group Art Unit:	5. Oath or Declaration	[Total Pages 3]	12.			, L	
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16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. Other: Tränsmittal 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No. PCT/USO2/28587 Prior application Information: Examiner Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Labal Registration No. (Attorney/Agent) 35,225 Name (Printl Type) Liss B. Kole Registration No. (Attorney/Agent) 35,225 Request and Certification under 35 U.S.C. 122 (b)(2)(B)(Applicant must attach form PTO/SB/35 or its equivalent. The cort is equivalent. The cort is equivalent. The critical critical cort is equivalent. The critical critical critical cort is equivalent. The critical critica	b. (for continuation/division	all with Box 18 completed)	14. 🖊	Return Receip	ot Postcard (N ecifically item	ИРЕР : ized)	503)
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Prior application Information: Examiner				F	PCT/US02/28	587	
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The incorporation can only be relied upon when a portion has been Inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Or Correspondence address below Name Address City State Telephone Fax Name (Print/Type) Lis B. Kole Registration No. (Attorney/Agent) 35,225	For CONTINUATION OR DIVISIONAL APPS	only: The entire disclosure of the	ne prior applic	ation, from which	an oath or dec	laratio	n is supplied under
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Address City State Zip Code Country Telephone Fax Name (Print/Type) Lis B. Kole Registration No. (Attorney/Agent) 35,225	Customer Number or Bar Code Label		rectolatelhere	, or	Согтеврог	ndence a	ddress below
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02/02/04	Name (Print/Type) Lis B.	Kole / V /	Regist	tration No. (Atto	rneyl Agent)	35,	225
	Signature	- 12 Krl	1		Date		08/04

The PTO did not receive the following listed item(s) Statements Ventroing identity of CRF and paper.

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

TOTAL	AMOUNT	OF PAYMENT	
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469

Complete if Known			
Application Number	Not Yet Assigned		
Filing Date	March 8, 2004		
First Named Inventor	Fisher		
Examiner Name	Not Yet Assigned		
Group Art Unit	Not Yet Assigned		
Attorney Docket No.	A34694-A-PCT-USA-A		

METHOD OF PAYMENT		FE	EE CALCULATION (continued)	,
1. The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITION	VAL FE	ES	
Deposit	Large	Sma	**	
Account Number 02-4377	Entity Fee	Entit Fee	ry Fee Description	Fee Paid
Denosit	(\$)	(\$)	ree bescription	1001111
Account Name Baker Botts LLP	130	65	Surcharge - late filing fee or oath	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	50	25	Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status.	130	130	Non-English specification	
See 37 CFR 1.27	2,520	2,520	For filing a request for ex parte reexamination	
2. Payment Enclosed: Check Credit card Money Other Other	920*	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	1,840*	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	110	55	Extension for reply within first month	
Large Entity Small Entity	420	210	Extension for reply within second month	
Fee Fee Description	950	475	Extension for reply within third month	
(*)	1,480	740	Extension for reply within fourth month	
770 385 Utility filing fee 385 340 170 Design filing fee	2,010	1,005	Extension for reply within fifth month	
530 265 Plant filling fee	330	165	Notice of Appeal	
770 385 Relssue filing fee	330	165	Filing a brief in support of an appeal	
160 80 Provisional filing fee	290	145	Request for oral hearing	
	1,510	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 385	110	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES	1,330	665	Petition to revive - unintentional	
Fee from Extra Claims <u>below Fee Paid</u>	1,330	665	Utility Issue fee (or reissue)	
Total Claims 11 _ 20 = 0 x 18 = 0	480	240	Design issue fee	
Independent Claims 4 - 3 ** = 1 x 84 = 84	640	320	Plant issue fee	
Multiple Dependent 0 = 0	130	130	Petitions to the Commissioner	
	50	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Description	180	180	Submission of Information Disclosure Stmt	
(\$) (\$) 18 9 Claims in excess of 20	40	40	Recording each patent assignment per property (times number of properties)	
86 43 Independent claims in excess of 3	770	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
290 145 Multiple dependent claim, if not paid	770	385	For each additional invention to be	
86 43 ** Reissue independent claims over original patent			examined (37 CFR § 1.129(b))	
18 9 ** Reissue claims in excess of 20 and over original patent	770	385	Request for Continued Examination (RCE)	
and over original patent	900	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 84	Other fee (speci	fy)	<u> </u>	
°°or number previously paid, if greater, For Reissues, see above	*Reduced by Ba	sic Filing	Fee Paid SUBTOTAL (3) (\$) 0	

SUBMITTED BY				Complete (i	fapplicable)
Name (Print/Type)	Liga B. Kole	Registration No. (Attorney/Agent)	35,225	Telephoné	212-408-2628
Signature	Our Olle			Date	March 8, 2004

Att Docket #: A34694-A-PCT-USA-A

Addendum Sheet 1

*Question 4 Addendum 4.a. Drawings are ☐ formal ☑ informal
* Question 5 Addendum 5.c. ☑An unsigned oath or declaration is included.
* Question 8 Addendum 8.d. A sequence submission will follow.
* Question 9 Addendum 9.a. ✓ Assignment documents will follow. 9.b. ☐ Assignment documents have been filed in parent application No.
* Question 11 Addendum 11.a ☐ English translation will follow.
* Question 12 Addendum 12.a ☑ Copies of IDS citations will follow.
* Question 15 Addendum 15.a.☐ Certified copies of priority documents will follow. 15.b☐ Certified copies of priority documents have been filed in parent application No.
Use the space below for additional information
Inventors - Paul B. Fisher, Rahul V. Gopalkrishnan
Title (continued):
ANTI-TUMOR EFFECTS OF PROSTATE CARCINOMA TUMOR ANTIGEN-1

Att Docket #: A34694-A-PCT-USA-A

Addendum Sheet 2

18a. If a CONTINUING APPLICATION, che or in an Application Data Sheet under 37 C Continuation Divisional Prior application information: Examina	FR 1.76: Continuation-in-part (CIP)	requisite information below and in a preliminary amendment, of prior application No.: PCT/US02/28587 Group Art Unit:
18b. If a CONTINUING APPLICATION, che or in an Application Data Sheet under 37 Ci Continuation Divisional Prior application information: Examine	Continuation-in-part (CIP)	equisite information below and in a preliminary amendment, of prior application No.: 09/948,227 Group Art Unit: 1632
18c. If a CONTINUING APPLICATION, checor in an Application Data Sheet under 37 CF Continuation Divisional Prior application information: Examiner	R 1.76: Continuation-in-part (CIP)	of prior application No.:
Amend the specificaton by inserting 'This application is a Continua 'This application is based upon:		tinuation-in-part (CIP) of:
prior application No.:		, incorporated by reference herein,
which is a Continuation	Divisional Continuation	-in-part (CIP) of:
which is based upon: prior application No.		, incorporated by reference herein,
which is a Continuation	Divisional Continuation	⊢in-part (CIP) of:
which is based upon: prior application No.:		, incorporated by reference herein,
which is a Continuation		
which is based upon:	Divisional Continuation	-in-part (CIP) of:
prior application No.:		, incorporated by reference herein,
which is a Continuation which is based upon:	Divisional Continuation	n-in-part (CIP) of:
prior application No.		, incorporated by reference herein,
which is a Continuation	☐ Divisional ☐ Continuation	n-in-part (CIP) of:
which is based upon: prior application No.		, incorporated by reference herein
Priority under 35.U.S.C. §119 is claimed based Foreign Applications:	upon the following applications.	
Country:		
Country:		-
Country:	Serial No:	Filing Date:
Provisional Applications:	Cilina Data:	•
Serial No:	Filing Date: Filing Date:	
Serial No:	Filing Date:	
Galai No	rining Date	

CERTIFICATION UNDER 37 C.F.R. 1.8(a) OR 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail Certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

_	ted with the United States Postal So issioner for Patents, Washington D	ervice in an envelope addressed to the Assistant O.C. 20231.
	37 C.F.R. 1.8(a)	37 C.F.R. 1.10*
with suffic	tient postage as first class mail.	as "Express Mail Post Office to Address" Mailing Label No. ER589233081US (mandatory) Signature
Date: March 8,	, 2004	
		Leroy Chick
		(type or print name of person certifying)
*WARNING:	mailing label placed thereon prior "Since the filing of correspondent is an oversight that can be avoided."	ess Mail" must have the number of the "Express Mail" or to mailing 37 C.F.R. 1.10(b). The ce under § 1.10 without the Express Mail label thereon end by the exercise of reasonable care, requests for the granted on petition. "Notice of Oct. 24, 1996, 60